

Language and the Brain: Deep Learning for Brain Encoding and Decoding

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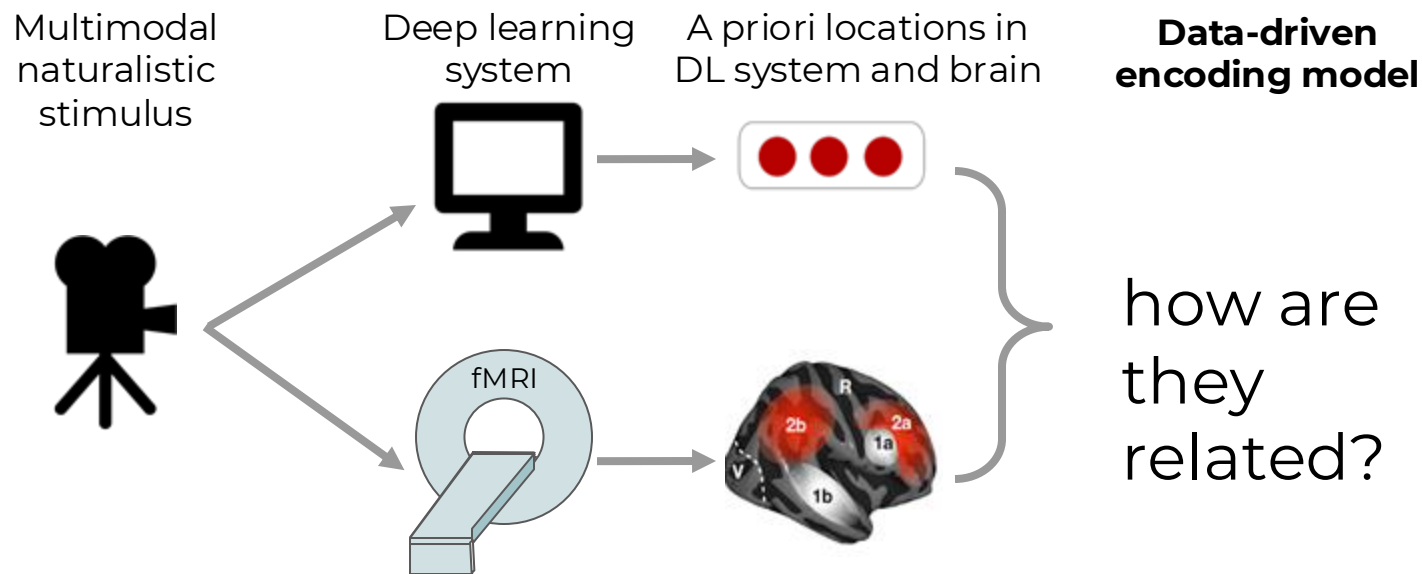
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Agenda

- Introduction to Brain encoding and decoding [10 min]
- Text Stimulus Representations [30 min]
- **Deep Learning for Brain Encoding [40 min]**
- Deep Learning for Brain Decoding [30 min]
- Summary and Future Trends [10 min]

Data-driven encoding models evaluate the relationships between brains and deep learning models



[Toneva, M., & Wehbe, L. \(2019\). Interpreting and improving natural-language processing \(in machines\) with natural language-processing \(in the brain\). Advances in Neural Information Processing Systems, 32.](#)

Deep learning models enable data-driven encoding models for naturalistic stimuli

more naturalistic stimuli



simple stim. representations explain less variance in brain activity

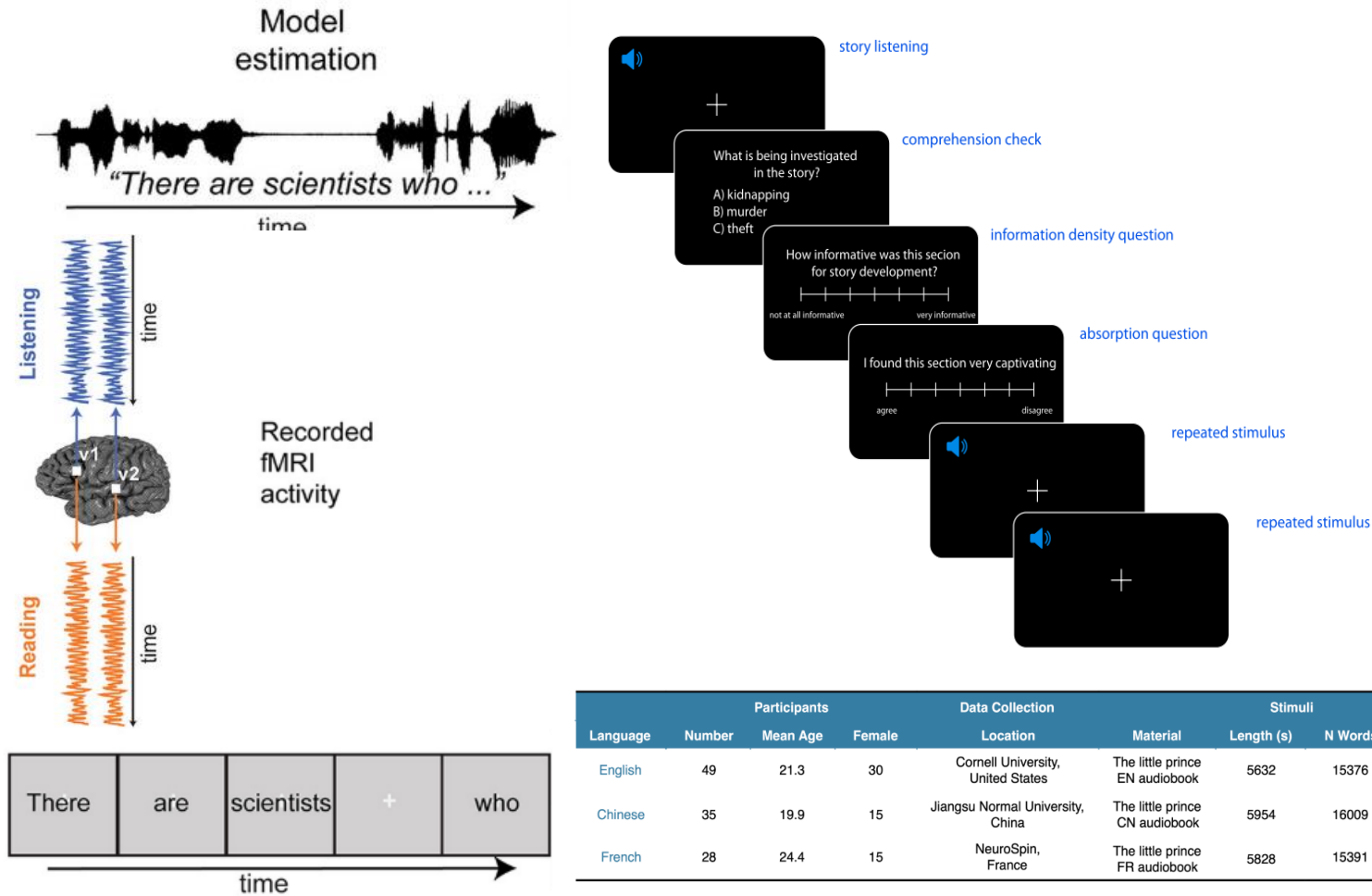
$$f\left(\begin{array}{|c|} \hline \bullet \bullet \bullet \\ \hline \end{array}\right) \approx \text{brain activity}$$

$\langle 0, 1, \dots, 0 \rangle$

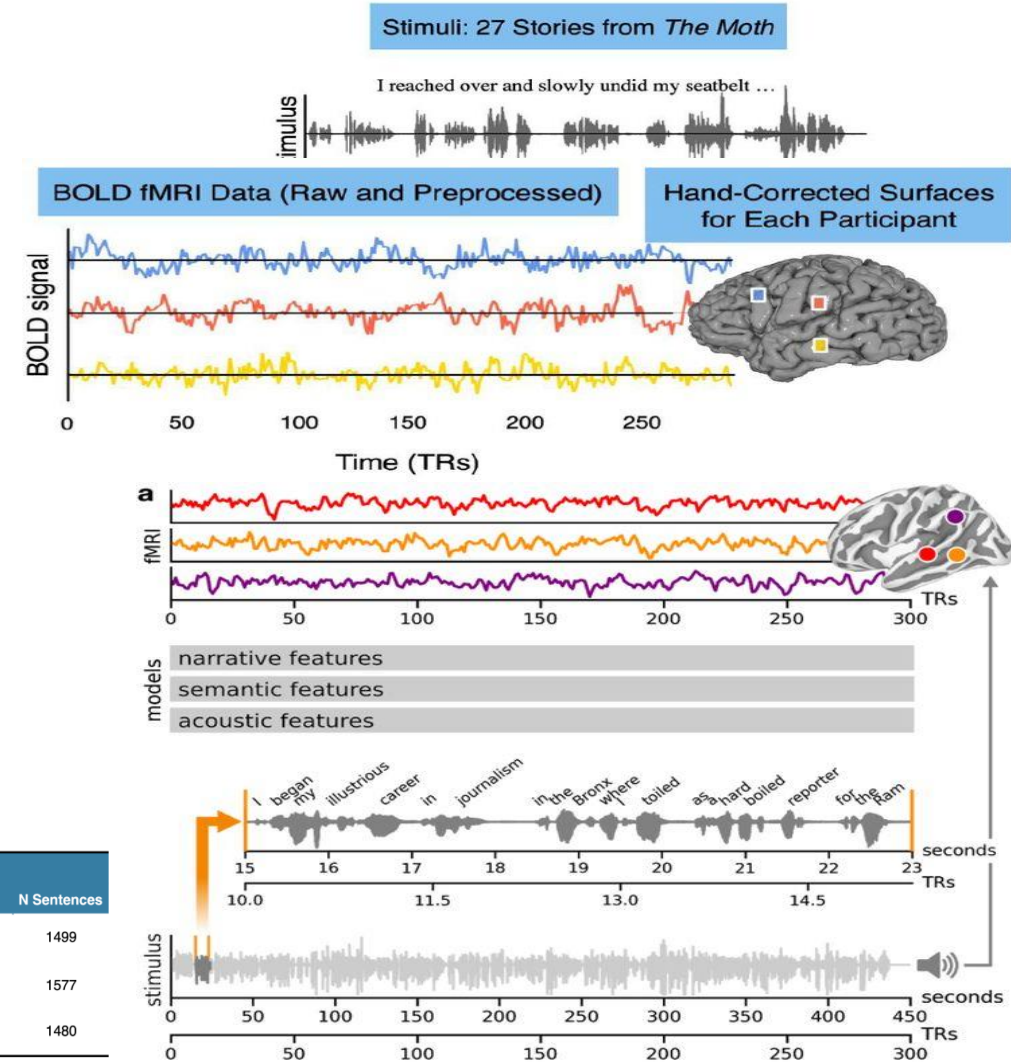
more stimulus properties that affect brain activity



Deep learning models enable data-driven encoding models for naturalistic stimuli



Language	Number	Participants		Data Collection		Stimuli		
		Mean Age	Female	Location	Material	Length (s)	N Words	N Sentences
English	49	21.3	30	Cornell University, United States	The little prince EN audiobook	5632	15376	1499
Chinese	35	19.9	15	Jiangsu Normal University, China	The little prince CN audiobook	5954	16009	1577
French	28	24.4	15	NeuroSpin, France	The little prince FR audiobook	5828	15391	1480



[Fatma Deniz, Anwar O. Nunez-Elizalde, Alexander G. Huth and Jack L. Gallant. The representation of semantic information across human cerebral cortex during listening versus reading is invariant to stimulus modality. *Journal of Neuroscience*. 2019.](#)

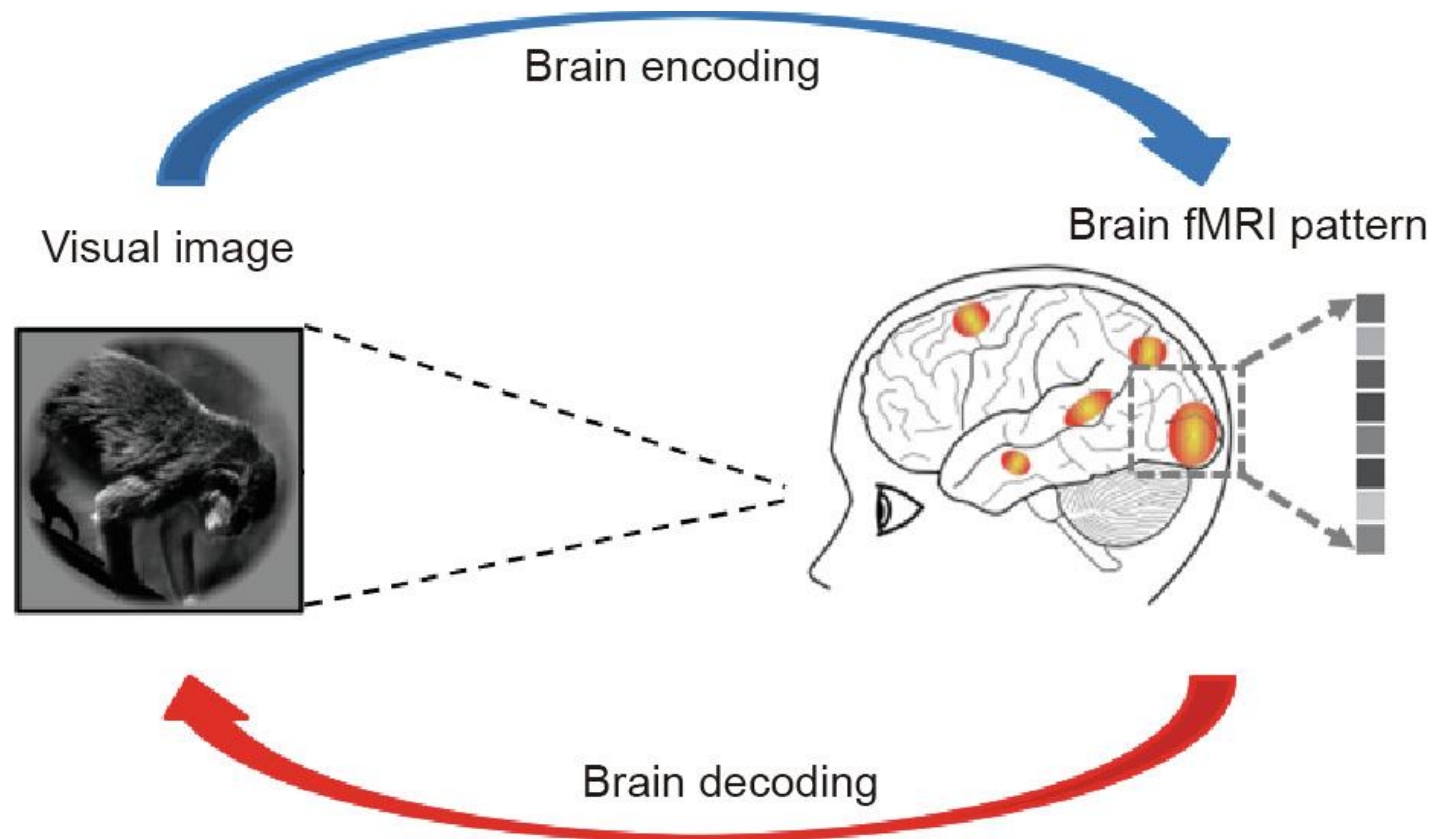
[Samuel A. Nastase. The “Narratives” fMRI dataset for evaluating models of naturalistic language comprehension. *Nature*. 2021.](#)

[Jixing Li. Le Petit Prince multilingual naturalistic fMRI corpus. *Nature*. 2022.](#)

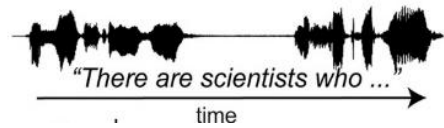
[Amanda LeBel, Lauren Wagner, Shailee Jain, Aneesh Adhikari-Desai, Bhavin Gupta, Allyson Morgenthal, Jerry Tang, Lixiang Xu, Alexander G. Huth. A natural language fMRI dataset for voxelwise encoding models. *Arxiv*. 2022.](#)

Encoding (Well-posed) vs Decoding (Ill-posed) in Neuroscience

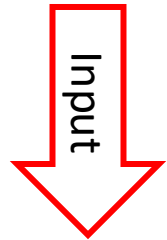
- Encoding: How is the stimulus represented in the brain?
- Decoding: Can we reconstruct the stimulus, given the brain response?



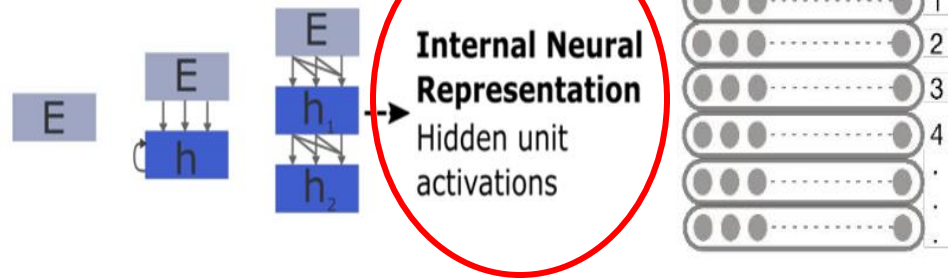
Brain Encoding?



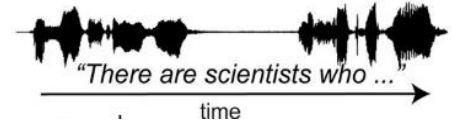
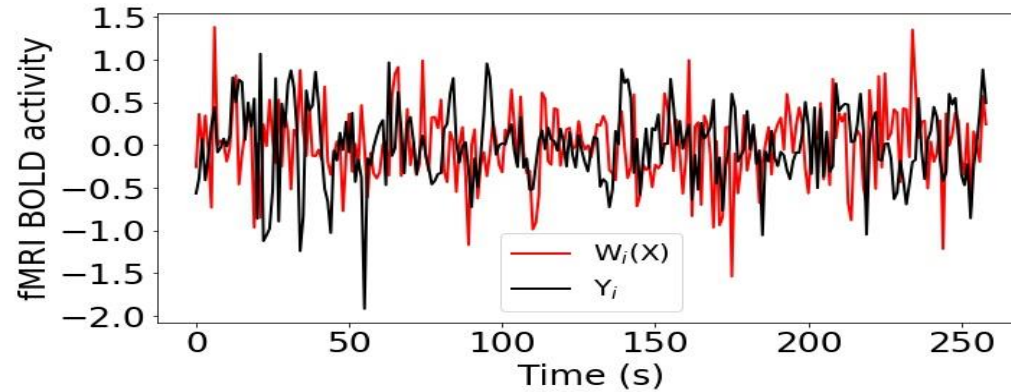
Stimulus



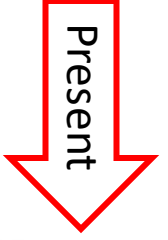
Models



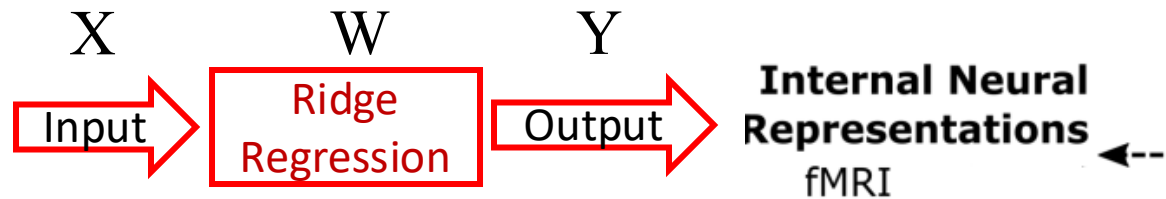
$$\text{Pearson Correlation (R)} = \text{Corr}(Y, W(X))$$



Stimulus

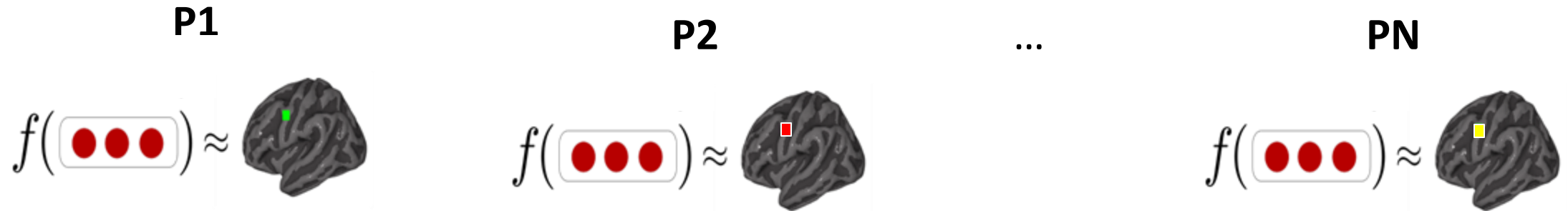


Humans

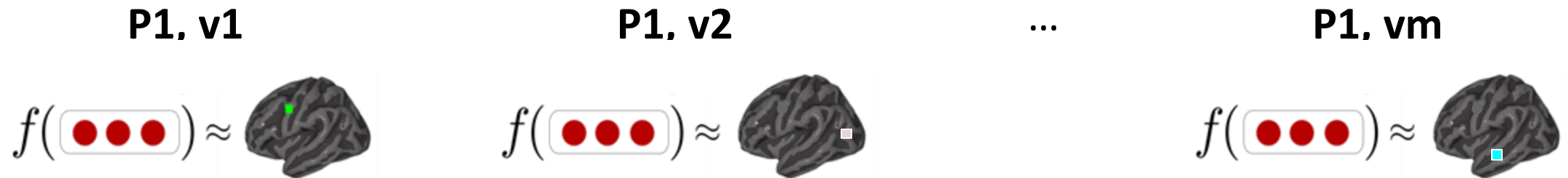


Encoding: training **independent** models

- Independent model per participant

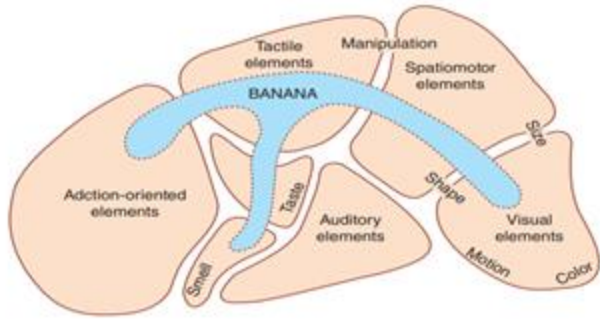


- Independent model per voxel / sensor-timepoint

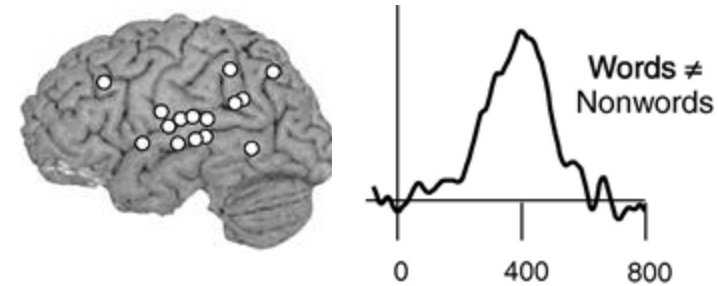


Mechanistic understanding of information processing in the brain: 4 big questions

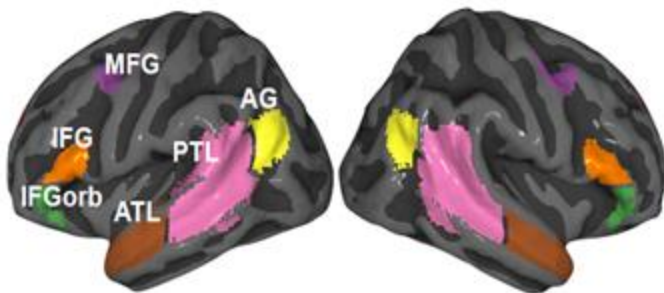
What



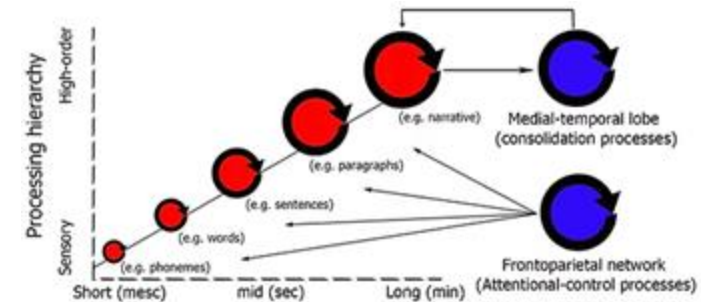
When



Where



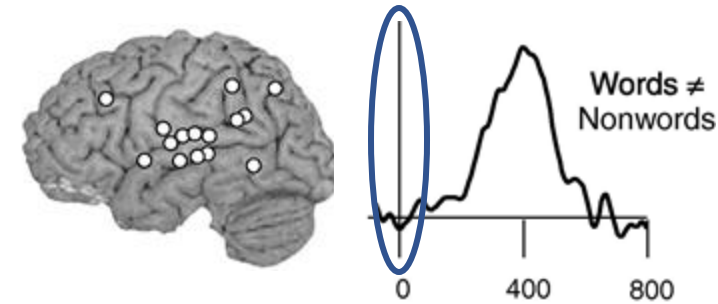
How



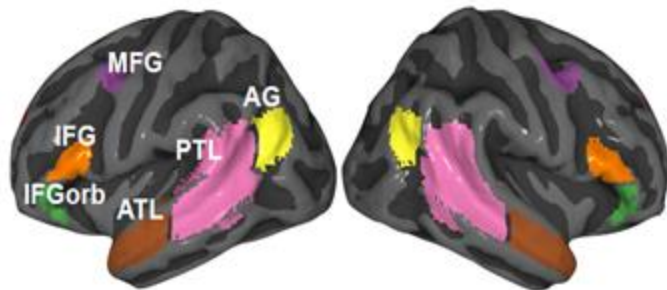
With MEG we can analyze sub-word time course

- MEG recording data at very fast temporal resolution
- So, we can look at sub-word process
- fMRI recording data at very high-spatial resolution

When



Where



How does brain represents complex meaning? (Where, When and What)

Is complex meaning stored in the same regions as more simple meanings?



Yes

New meaning is not different in nature

New meaning is more complex (hierarchy)



No

Can we learn the relevant representations directly from brain data?



Yes

Given enough data and good model



Brain activity can be predicted in many ways



No

Does the recording modality affect our ability to detect complex meaning? (e.g., fMRI vs. MEG)



Yes

Modalities record different aspects

Same underlying neuronal processes



No

Are these brain-learned representations useful for AI systems?



Yes

The brain is the only system that understands language



Brain and AI solution don't have to be similar



No

Word Context

1-word context

vehicle

2-word context

[speed] vehicle

vehicle [down]

3-word context

[high] [speed] vehicle

vehicle [down] [the]

4-word context

[my] [high] [speed] vehicle

vehicle [down] [the] [road]

5-word context

[drove] [my] [high] [speed] vehicle

vehicle [down] [the] [road] [today]

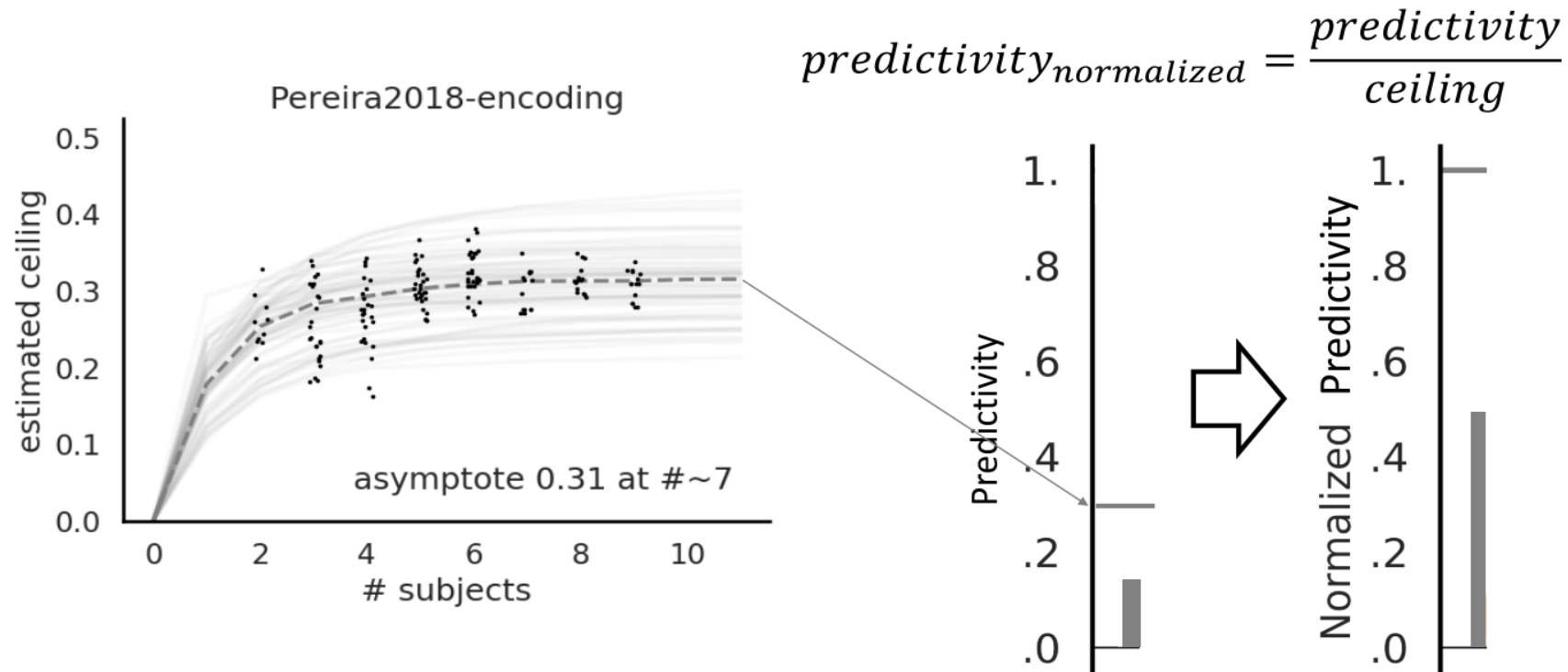
Past context

Future context

Normalized Predictivity

“how close are we” – **ceiling**

compute how well a pool of subjects predicts a held-out subject

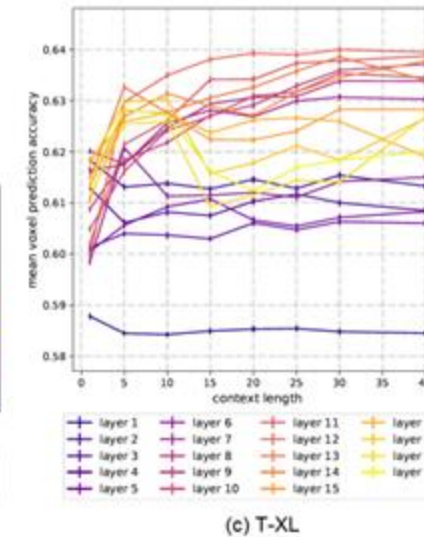
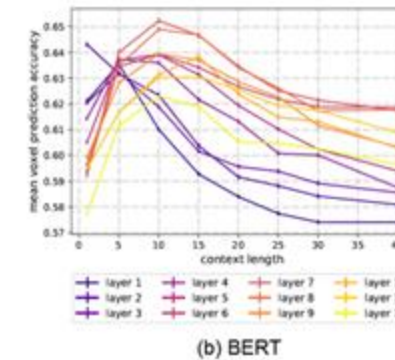
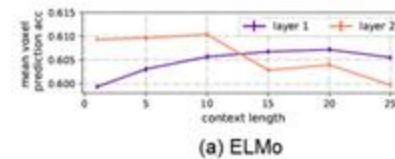
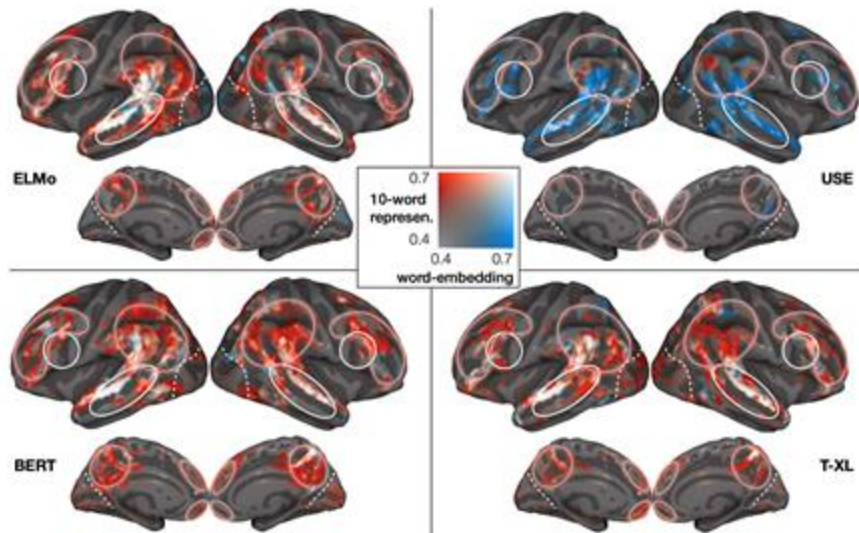
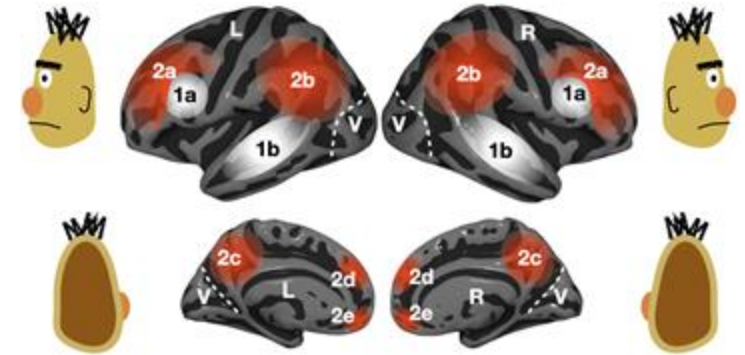


Recent work utilizing progress in LLMs for encoding

- Using representations of stimuli from deep learning systems
- **Language:**
 - Wehbe et al. 2014;
 - Jain and Huth, 2018;
 - Toneva and Wehbe, 2019;
 - Caucheteux and King, 2020/2022;
 - Schrimpf et al. 2020/2021;
 - Goldstein et al. 2021/2022;
 - Toneva and Wehbe, 2022/2023;
 - Khai et al. 2023
 - Oota et al. 2022/2023;

Language: work utilizing DL progress

- Stimuli: one chapter of Harry Potter
- Stimulus representation: derived from **pretrained** NLP systems
- Brain recording & modality: fMRI, reading

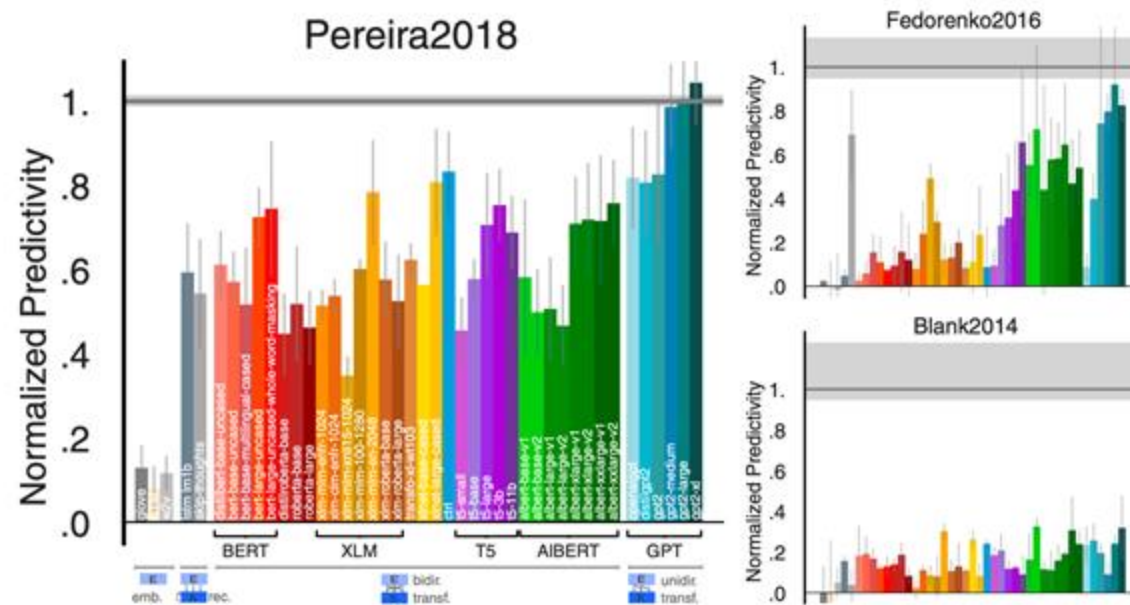


across several types
of large NLP systems,
best alignment with
fMRI in middle layers

Language: work utilizing DL progress

- Stimuli: sentences, passages, short story
- Stimulus representation: derived from pretrained NLP systems (BERT, GPT-2, T5 , and XLM)
- Brain recording & modality: fMRI & ECoG, reading & listening

some NLP systems can predict fMRI and ECoG up to 100% of estimated noise ceiling

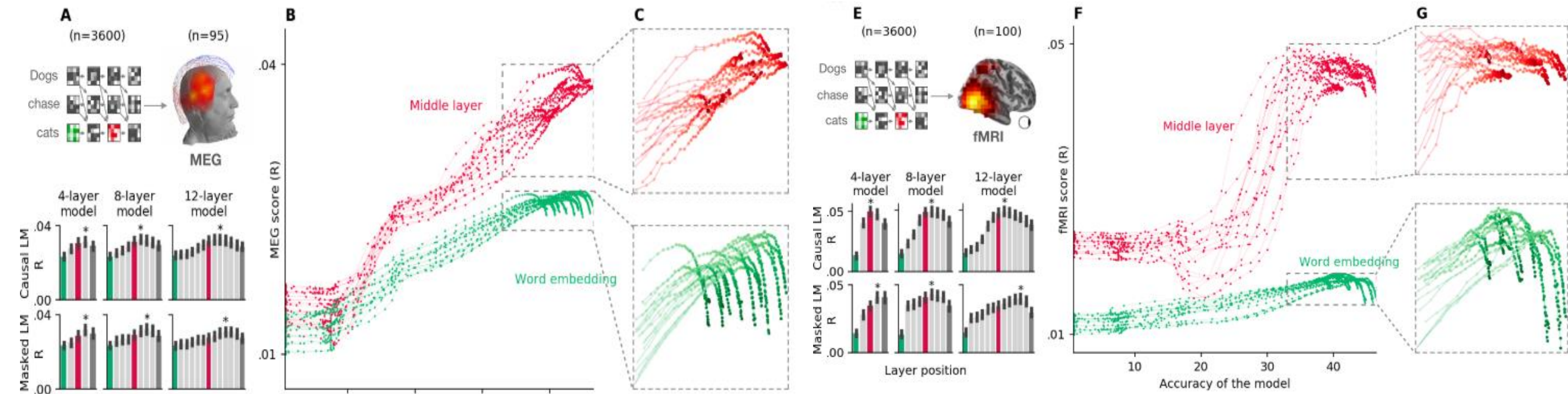


Language: work utilizing DL progress

- Stimuli: sentences
- Stimulus representation: derived from pretrained NLP systems (BERT and GPT-2)
- Brain recording & modality: MEG & fMRI, reading

best alignment with
fMRI & MEG in
middle layers

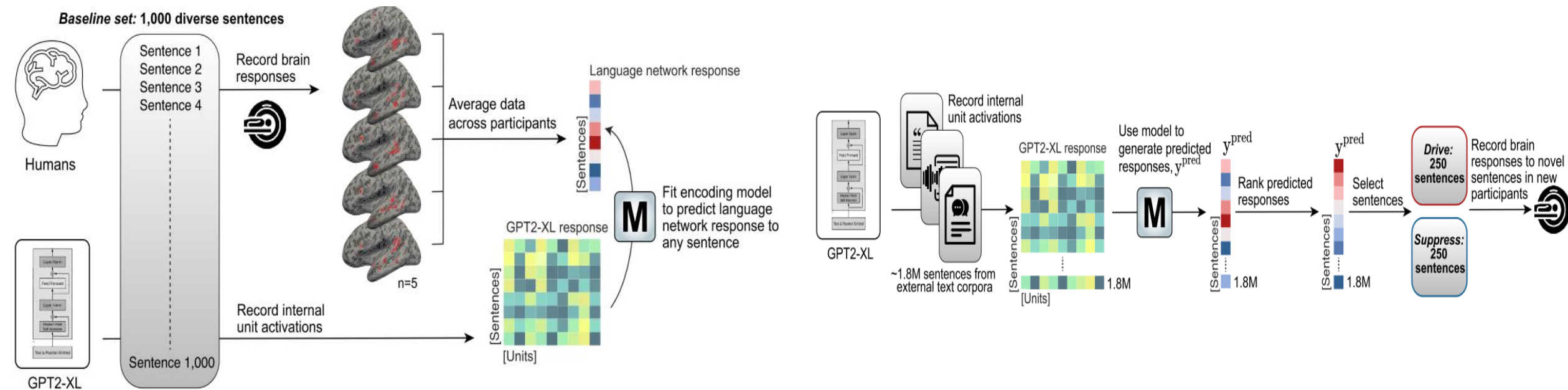
better performance
at predicting next
word -> better
prediction of fMRI &
MEG



Language: work utilizing DL progress

- Stimuli: sentences
- Stimulus representation: derived from pretrained NLP systems (GPT-2 XL)
- Brain recording & modality: fMRI, reading

model-selected 'out-of-distribution' sentences indeed drive and suppress activity of human language areas in new individuals



[Greta Tuckute et al. 2023. "Driving and suppressing the human language network using large language models."](#)

Challenges in using DL for cognitive science

- Not designed to specifically model brain processing

NLP systems: Designed to predict upcoming words

Harry never thought ???

Harry never thought he ???

Harry never thought he would ???

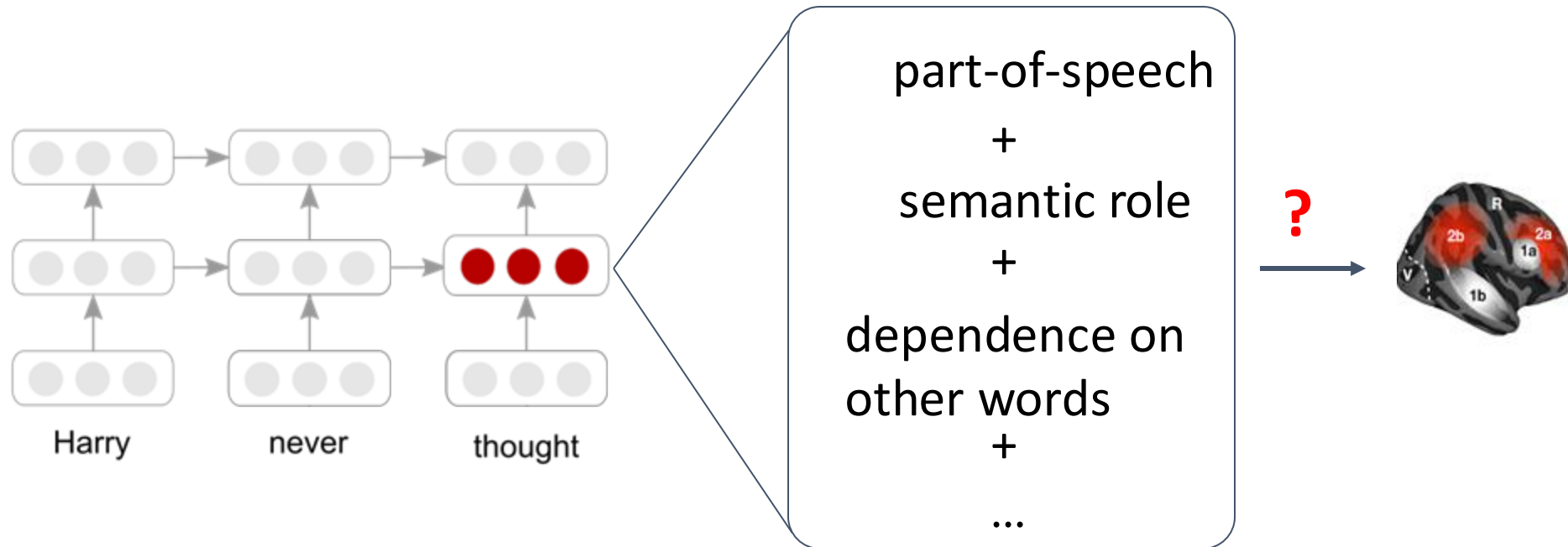
...

Challenges in using DL for cognitive science

- Not designed to specifically model brain processing
 - Training DL models using brain recordings
 - Task-based modeling

Challenges in using DL for cognitive science

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- Can be difficult to interpret due to multiple sources of information



Challenges in using DL for cognitive science

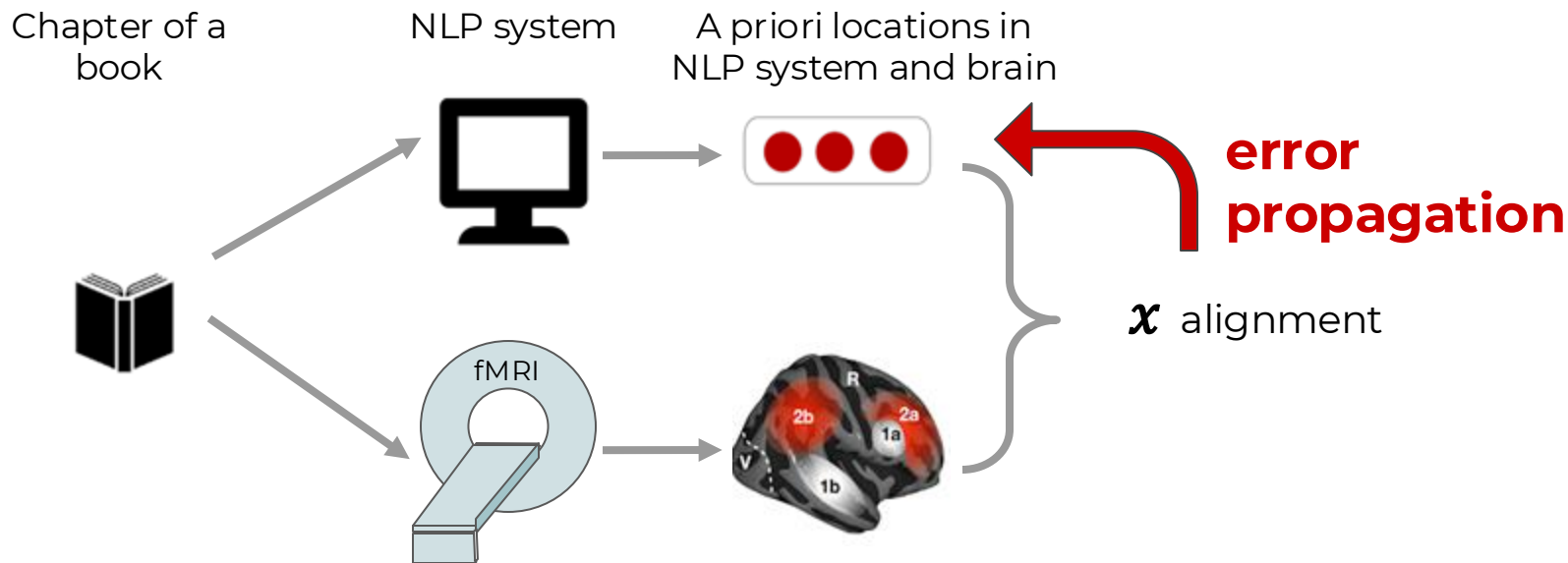
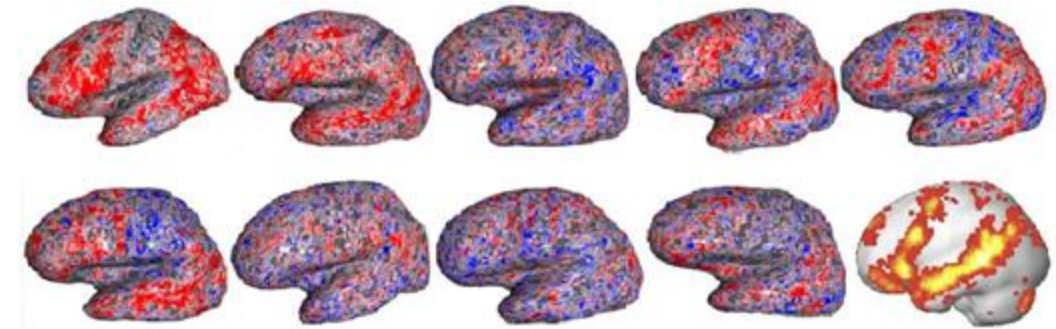
- Not designed to specifically model brain processing
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 - Disentangling contributions of different info sources to brain predictions

Challenges in using DL for cognitive science

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 - **Training DL models using brain recordings**
 - Task-based modeling
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Training DL models using brain recordings

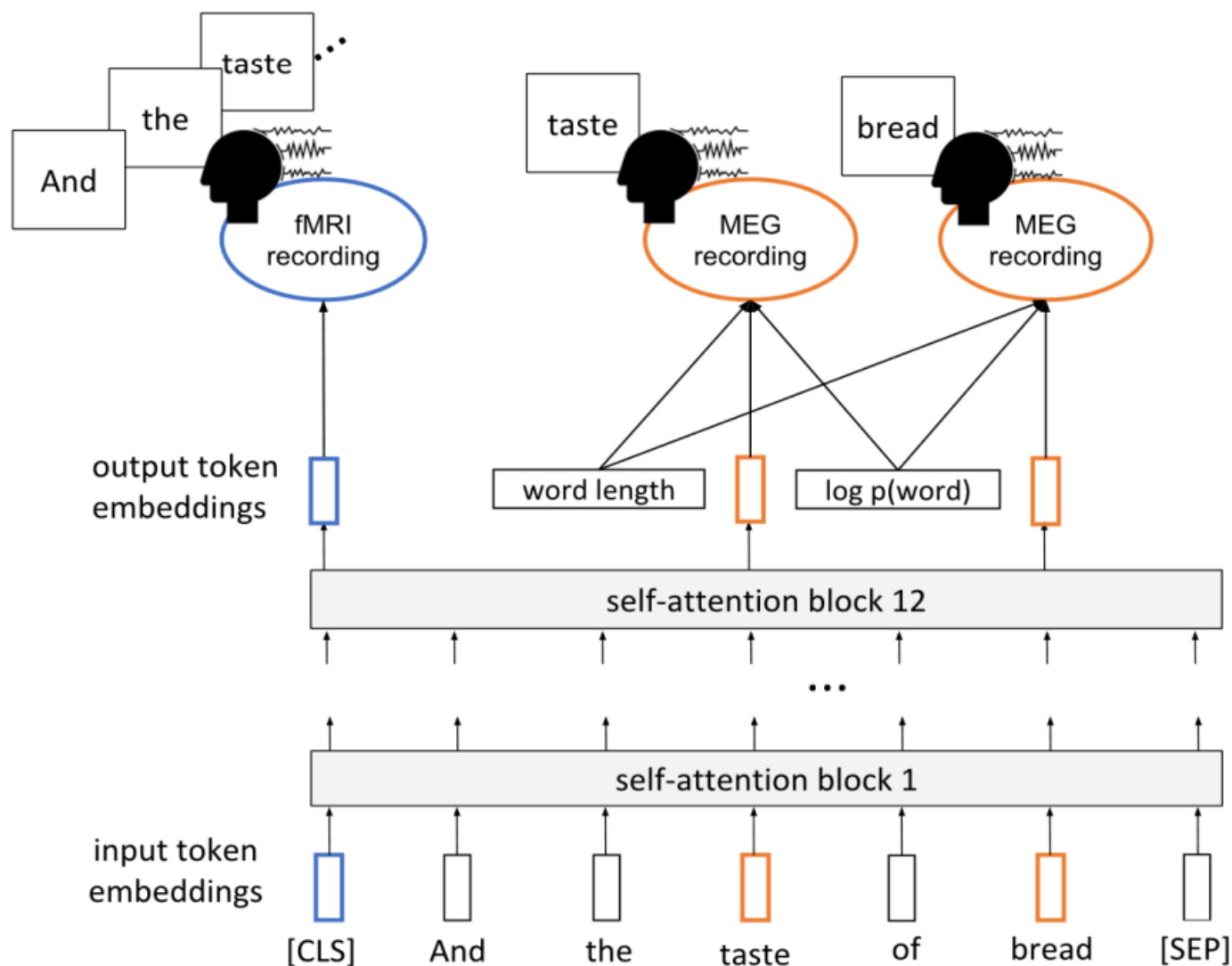
- Stimuli: one chapter of Harry Potter
- Stimulus representation: brain-optimized NLP model
- Brain recording & modality: fMRI & MEG, reading



Brain-optimized NLP model predicts unseen fMRI recordings better, especially in canonical language regions

[Schwartz, Dan, Mariya Toneva, and Leila Wehbe. "Inducing brain-relevant bias in natural language processing models." Advances in neural information processing systems 32 \(2019\).](#)

Inducing Brain Relevant Bias

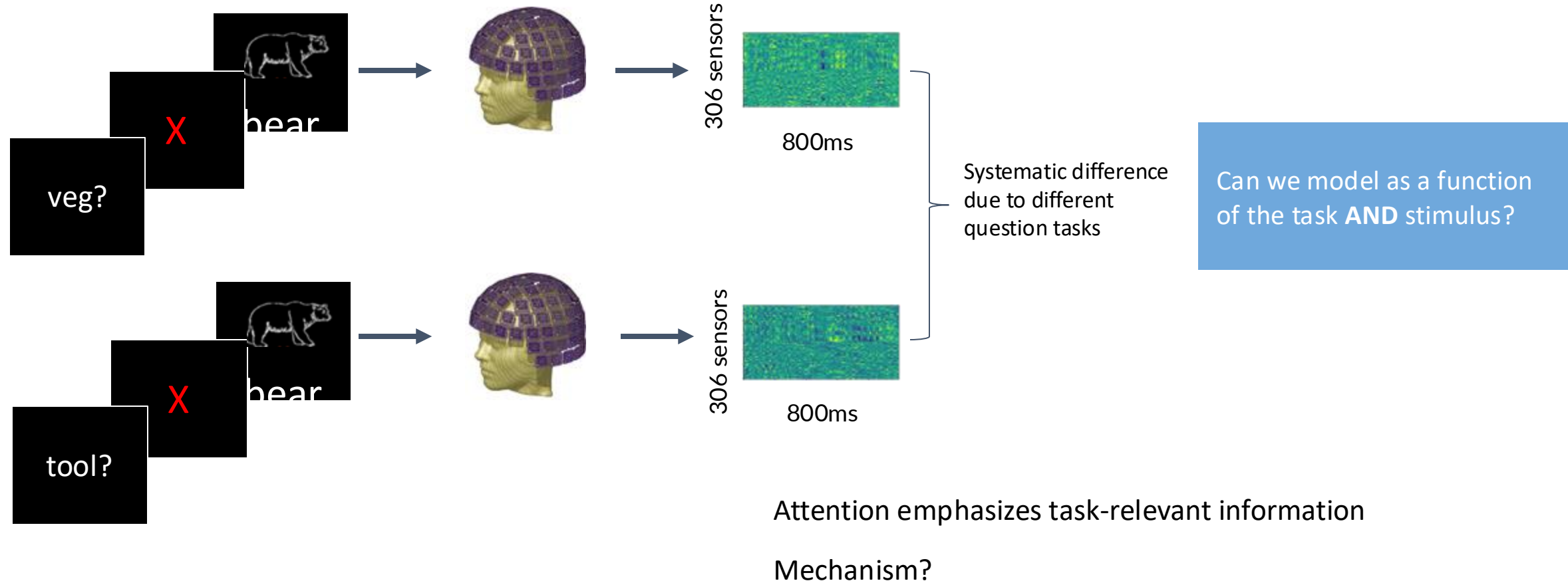


Metric	Vanilla	MEG	Joint
CoLA	57.29	57.63	57.97
SST-2	93.00	93.23	91.62
MRPC (Acc.)	83.82	83.97	84.04
MRPC (F1)	88.85	88.93	88.91
STS-B (Pears.)	89.70	89.32	88.60
STS-B (Spear.)	89.37	88.87	88.23
QQP (Acc.)	90.72	91.06	90.87
QQP (F1)	87.41	87.91	87.69
MNLI-m	83.95	84.26	84.08
MNLI-mm	84.39	84.65	85.15
QNLI	89.04	91.73	91.49
RTE	61.01	65.42	62.02
WNLI	53.52	53.80	51.97

Challenges in using DL for cognitive science

- Not designed to specifically model brain processing
 - Training DL models using brain recordings
 - **Task-based modeling**
- Can be difficult to interpret due to multiple sources of information
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Tasks affect processing

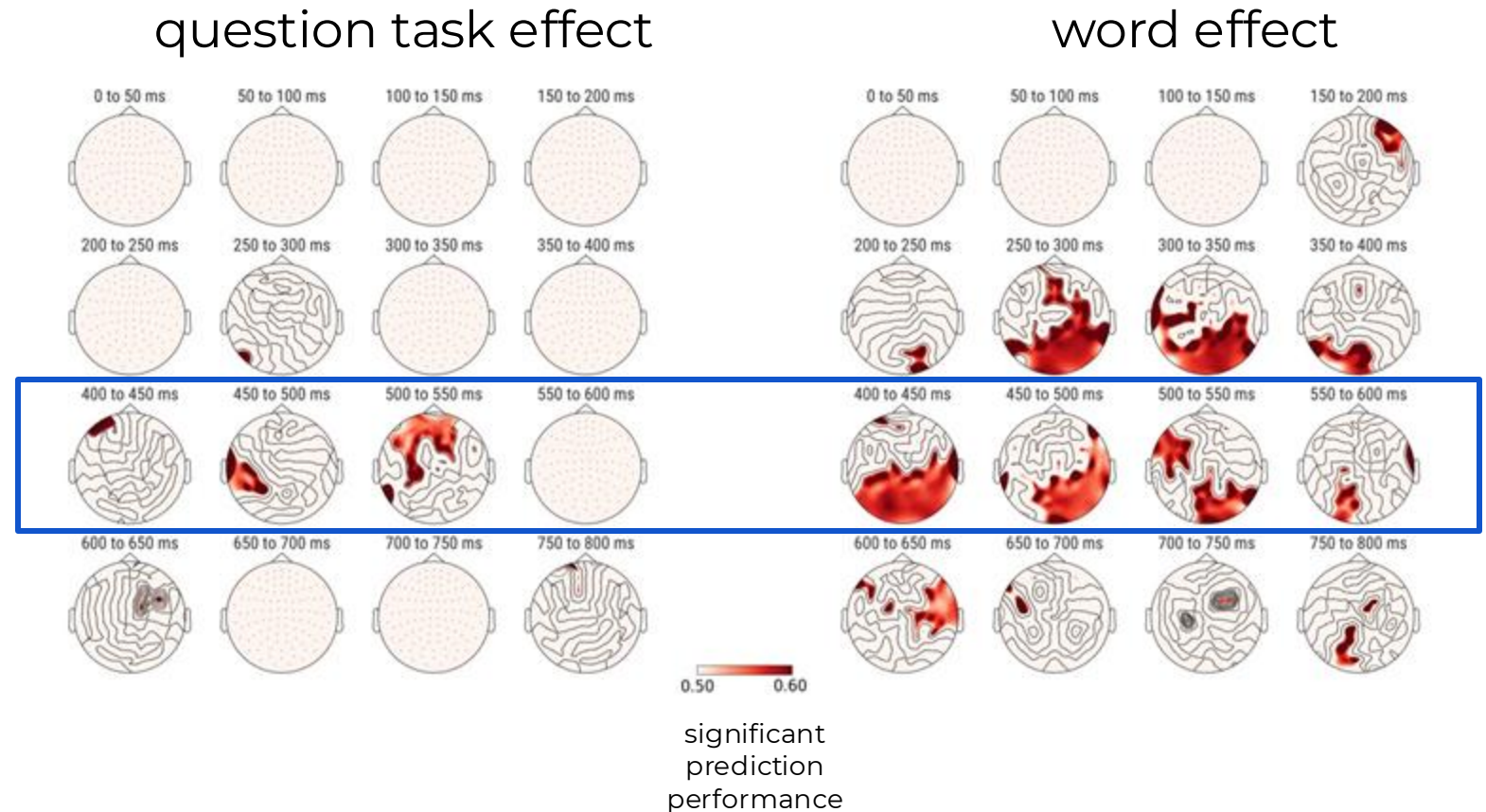


[Toneva, Mariya, Otilia Stretcu, Barnabás Póczos, Leila Wehbe, and Tom M. Mitchell. "Modeling task effects on meaning representation in the brain via zero-shot meg prediction." Advances in Neural Information Processing Systems 33 \(2020\): 5284-5295.](#)

Tasks affect processing

- Stimuli: concrete nouns + line drawings
- Task: answer Yes/No questions about noun
- Stimulus representation: human judgments
- Brain recording & modality: MEG, reading

The end of semantic processing of a word is task-dependent



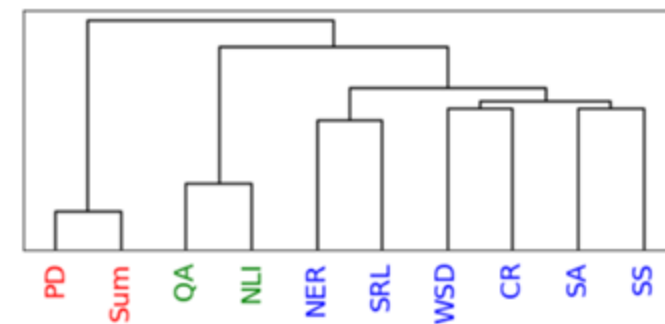
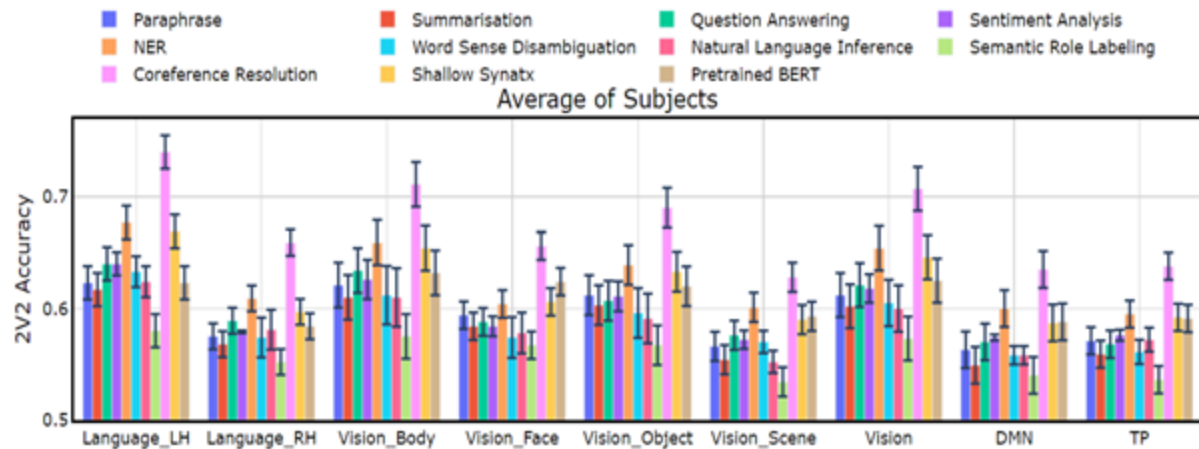
[Toneva, Mariya, Otilia Stretcu, Barnabás Póczos, Leila Wehbe, and Tom M. Mitchell. "Modeling task effects on meaning representation in the brain via zero-shot meg prediction." Advances in Neural Information Processing Systems 33 \(2020\): 5284-5295.](#)

Tasks affect processing

- Stimuli: passages and narratives
- Stimulus representation: task-optimized NLP models for a range of tasks
- Brain recording & modality: fMRI, reading & listening of different stimuli

Reading fMRI best explained by
coref. resolution, NER, shallow
syntax parsing

Listening fMRI best explained by
paraphrasing, summarization,
NLI



Oota, Subba Reddy, Jashn Arora, Veeral Agarwal, Mounika Marreddy, Manish Gupta, and Bapi Raju Surampudi. "Neural Language Taskonomy: Which NLP Tasks are the most Predictive of fMRI Brain Activity?." *arXiv preprint arXiv:2205.01404* (2022).

Challenges in using DL for cognitive science

- Not designed to specifically model brain processing
 - Training DL models using brain recordings
 - Task-based modeling
- Can be difficult to interpret due to multiple sources of information
 - **Disentangling contributions of different info sources to brain predictions**

Disentangling contributions of different info sources to brain predictions

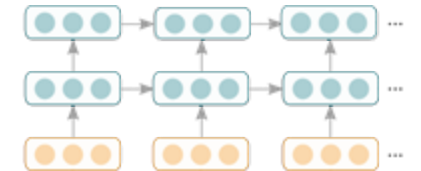
“Mary finished the apple”

supra-word meaning may contain concept of:

- eating
- apple core
- ...

Isolating supra-word meaning is a type of intervention

$$\begin{array}{c} \boxed{\bullet \bullet \bullet} \triangleq \boxed{\bullet \bullet \bullet} - \hat{g}(\boxed{\bullet \bullet \bullet}, \boxed{\bullet \bullet \bullet}, \dots) \\ \text{supra-word} \\ \text{meaning} \end{array}$$



Disentangling contributions of different info sources to brain predictions

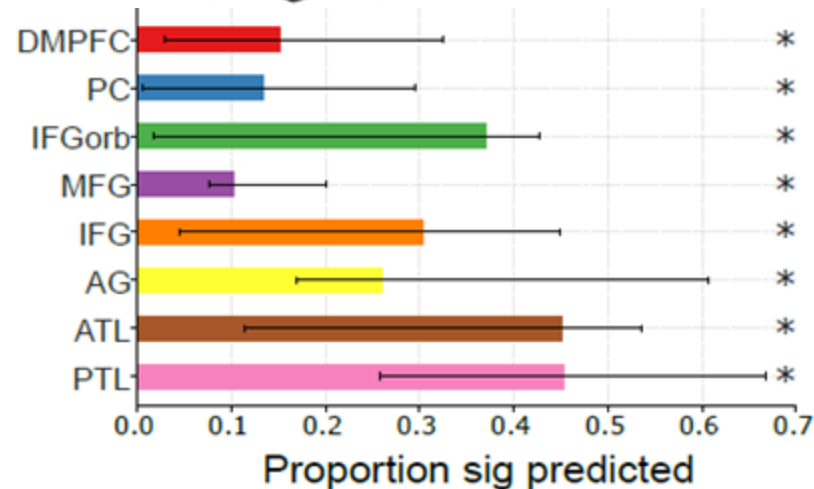
- Stimuli: one chapter of Harry Potter
- Stimulus representation: disentangled embeddings from pretrained NLP models
- Brain recording & modality: fMRI & MEG, reading

Bilateral PTL and ATL process supra-word meaning

Word-level information important for prediction of most language regions

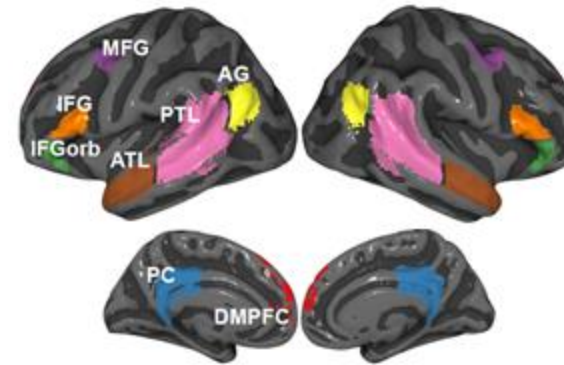
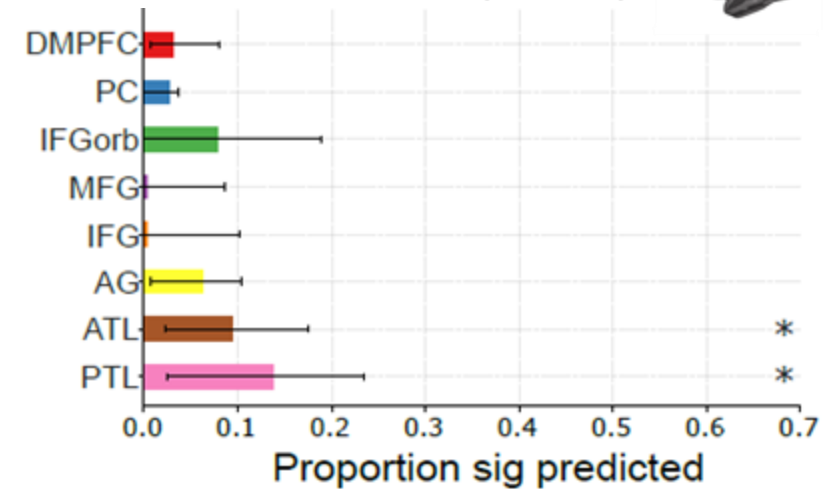
full context

$$f(\text{●} \text{●} \text{●}) \approx \text{brain}$$



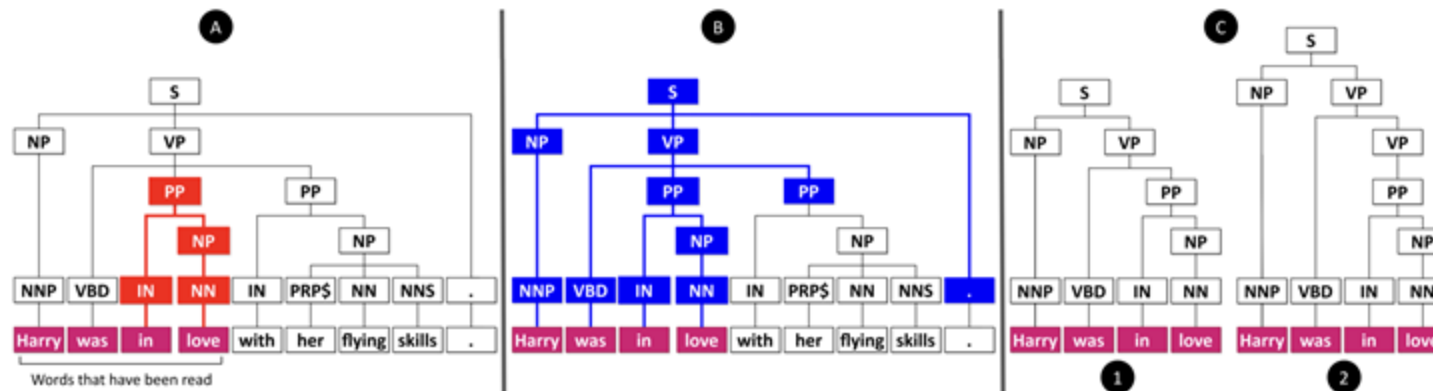
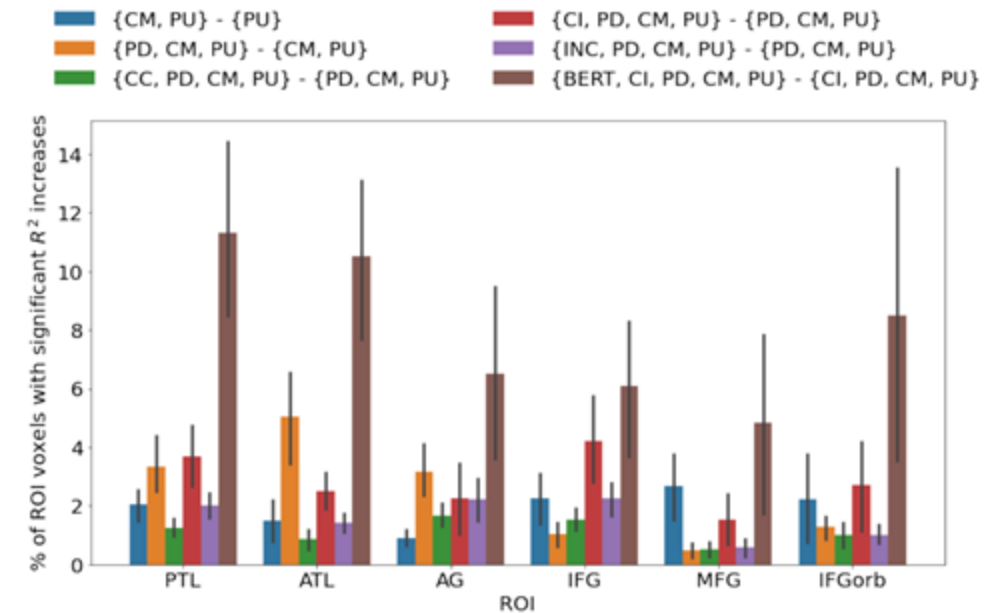
supra-word

$$f(\text{●} \text{●} \text{●}) \approx \text{brain}$$



Disentangling contributions of different info sources to brain predictions

- Stimuli: one chapter of Harry Potter
- Stimulus representation: syntactic tree representations & pretrained NLP model
- Brain recording & modality: fMRI, reading



Syntactic structure-based features explain additional variance in language regions over complexity metrics

Regions predicted by syntactic and semantic are difficult to distinguish

Disentangling contributions of different info sources to brain predictions

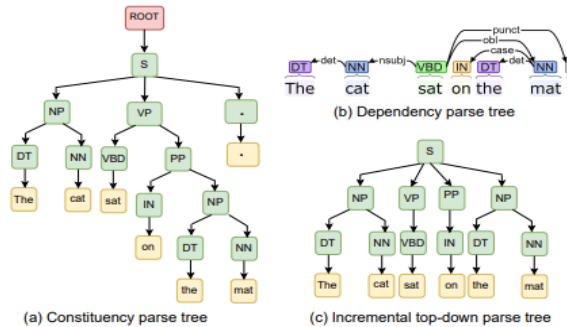
- Stimuli: Narratives
- Stimulus representation: syntactic tree representations & pretrained NLP model
- Brain recording & modality: fMRI, listening

Step 1: Acquire brain activity of people listening to natural story

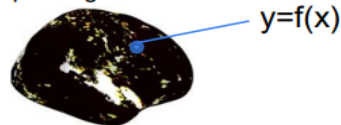


I began my illustrious ...

Step 2: Extract parser representations of the story

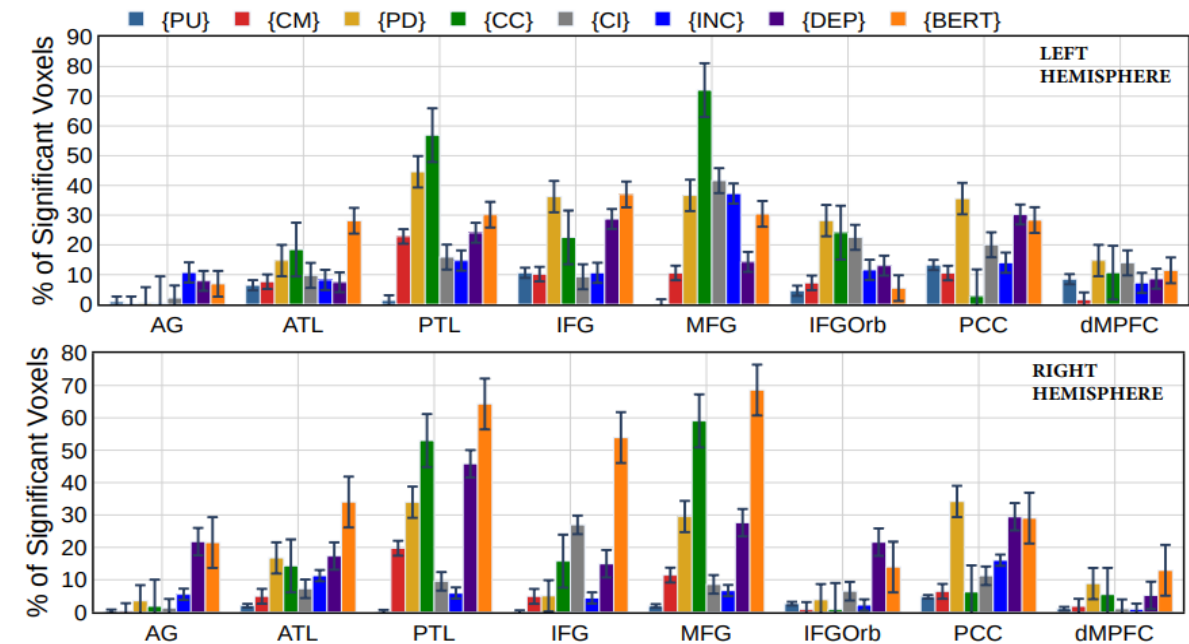


Step 3: For each brain region, learn a regression model that predicts brain activity using the representations of the corresponding words



Step 4: Control syntactic information from each representation and evaluate

- individual predictive power of these three syntactic word embedding methods,
- predictive power of the three syntactic word embedding methods when controlling for basic syntactic signals,
- predictive power of each of the three syntactic word embedding methods when controlling for the other two.

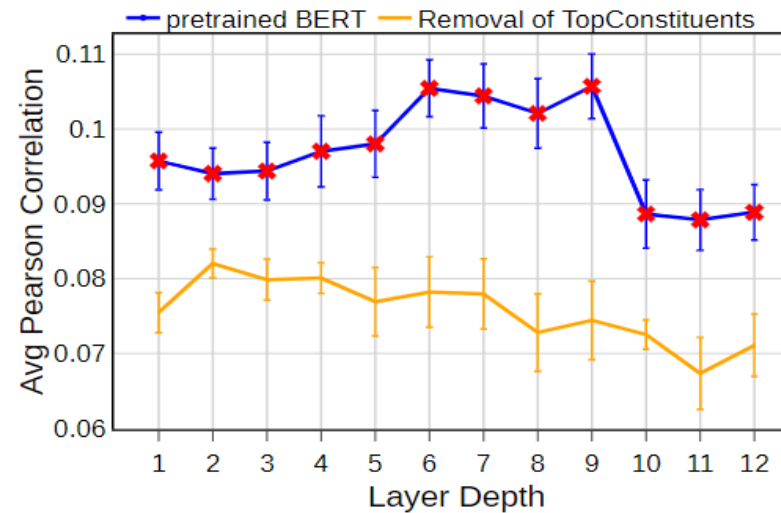
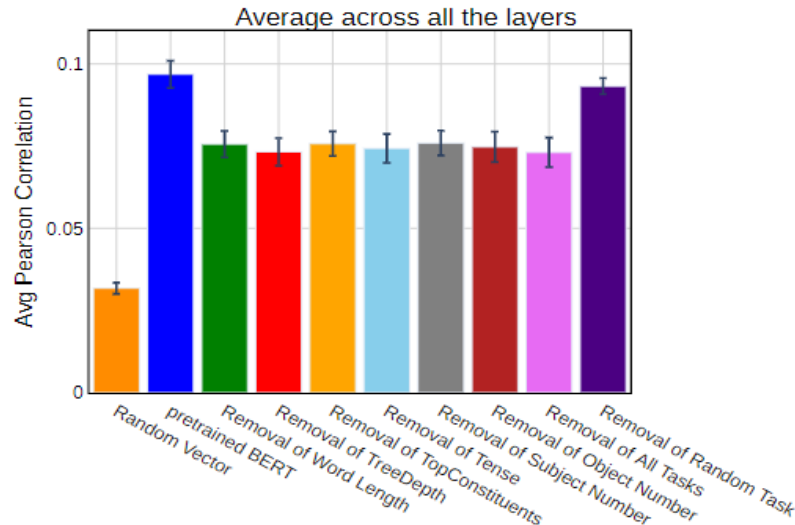
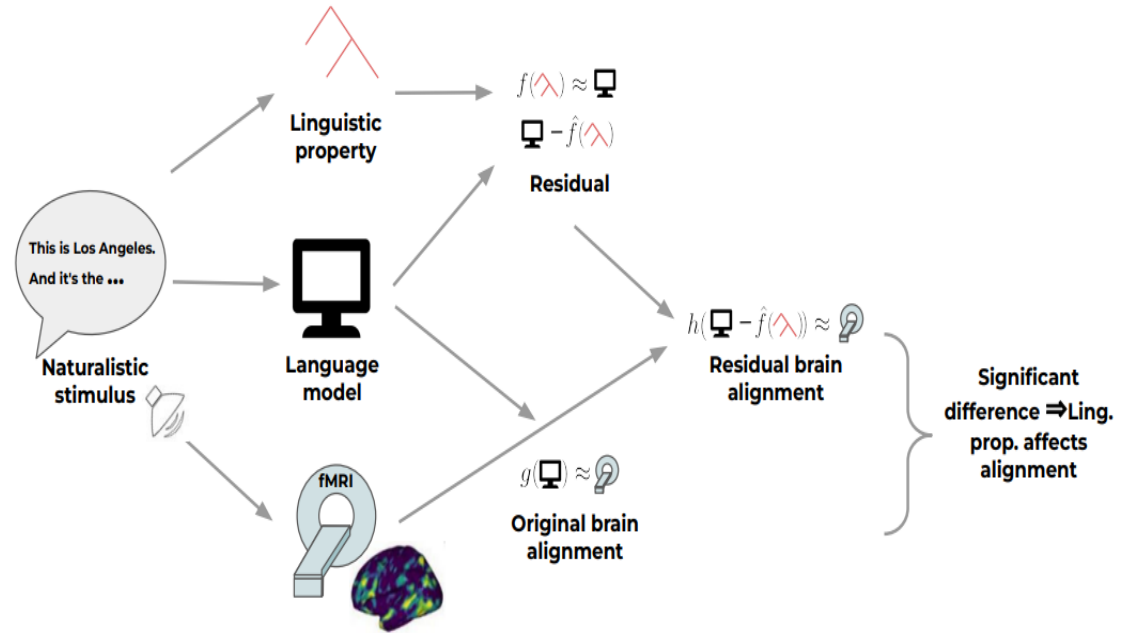


Constituency tree structure is better in temporal cortex and MFG, while Dependency structure is better in AG and PCC,

Regions predicted by syntactic and semantic are difficult to distinguish

Disentangling contributions of different info sources to brain predictions

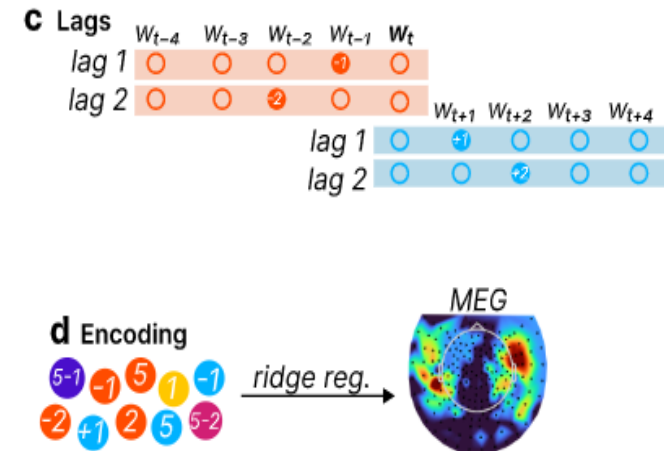
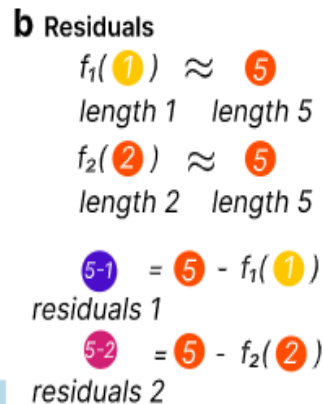
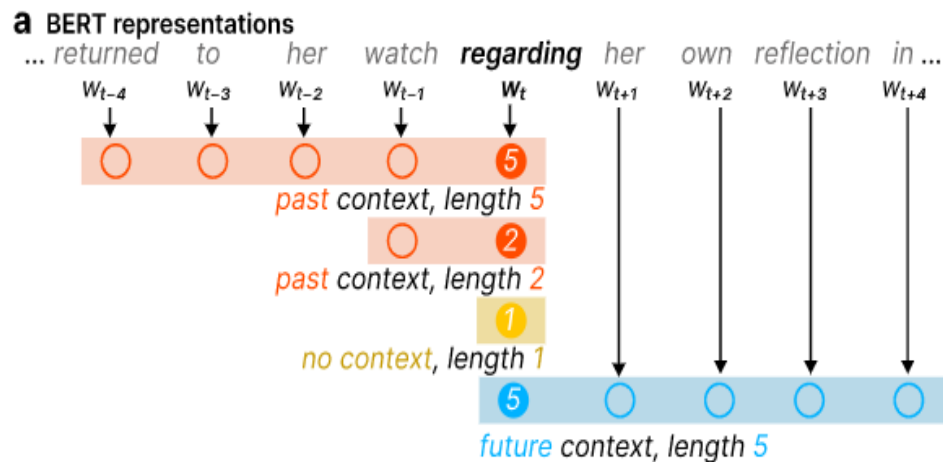
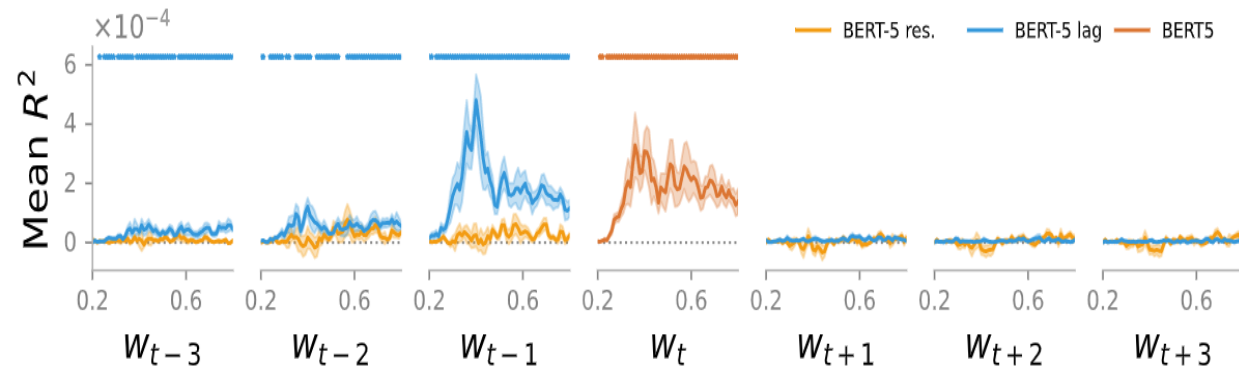
- Stimuli: Narrative Stories
- Stimulus representation: pretrained NLP model and removal of linguistic properties
- Brain recording & modality: fMRI, Listening
- Questions: What linguistic properties underlie brain alignment, across all layers but also specifically in middle layers?



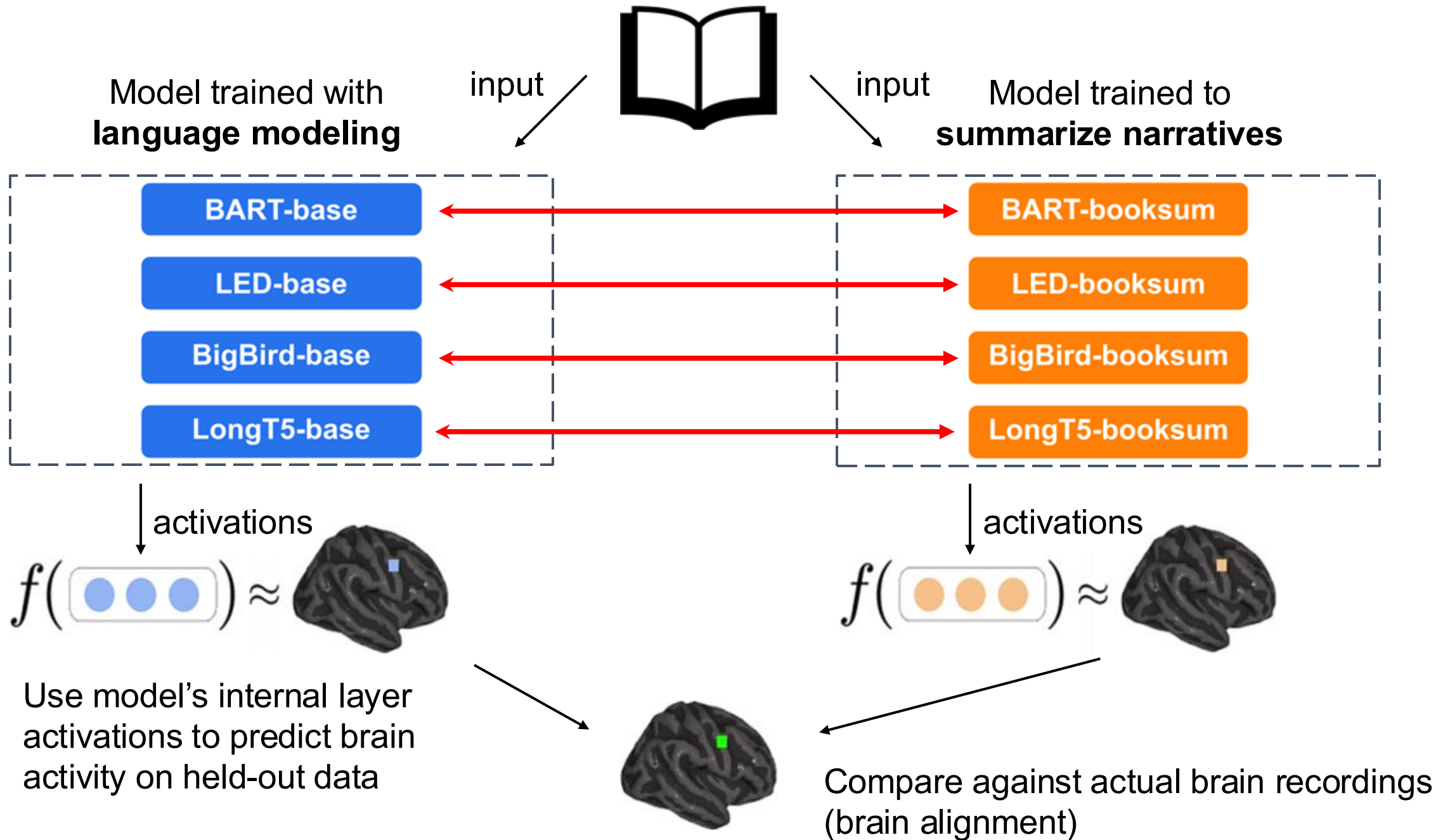
Top constituents and Tree Depth contribute the most to the alignment trend across layers

Disentangling contributions of different info sources to brain predictions

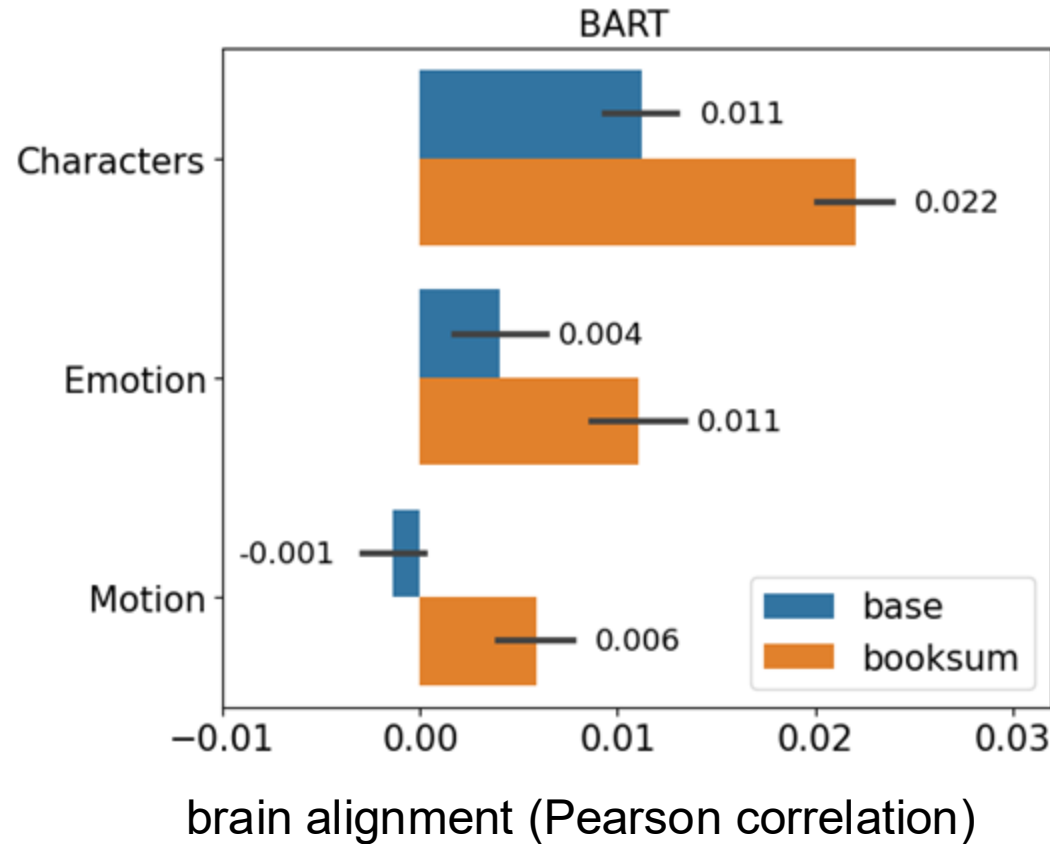
- Stimuli: four naturalistic stories
- Stimulus representation: basic syntactic tree representations & pretrained NLP model
- Brain recording & modality: MEG, Listening



Past word context is crucial in obtaining significant results.



Result: Brain alignment improves for all discourse features



Booksum models' representations of Characters, Emotions and Motions are more aligned to the brain than the base models' representations.

- Stimuli: Narrative Stories
- Stimulus representation: pretrained NLP model and speech models
- Brain recording & modality: fMRI, Reading, Listening
- **Questions:** Is the choice of stimulus modality (reading vs. listening) important for the study of brain alignment?
- Are all naturalistic fMRI datasets equally good for brain encoding?
- How does the type of model (text vs. speech and encoder vs. decoder) affect the resulting alignment?

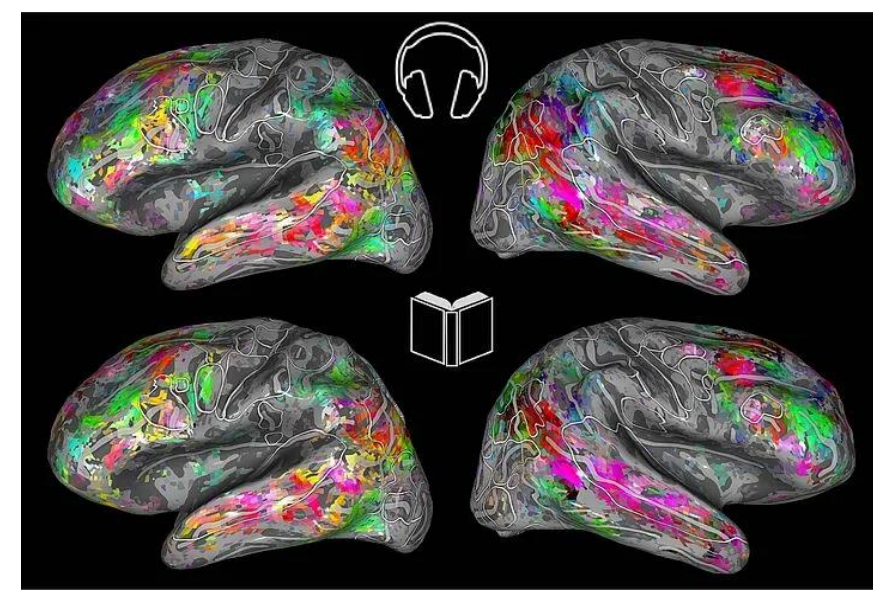


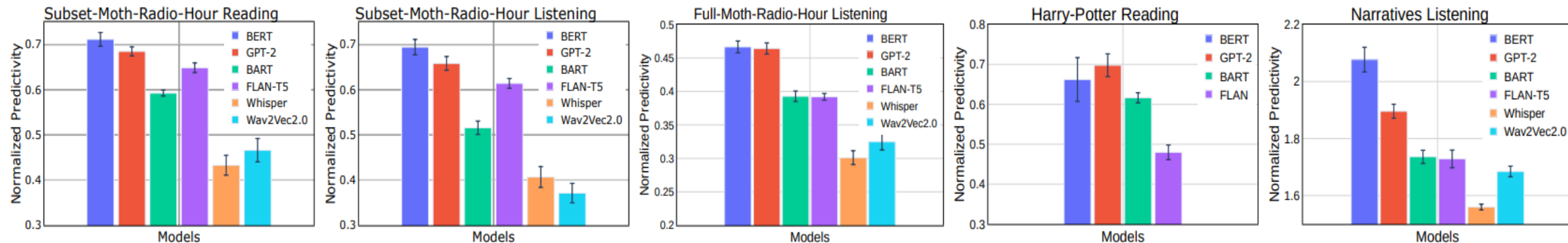
Table 1: Naturalistic Stories Datasets

Dataset	Modality	Subj	1-TR	# TRs
Full-Moth-Radio-Hour	Listening	8	2.0045s	9932
Subset-Moth-Radio-Hour	Reading	6	2.0045s	4028
Subset-Moth-Radio-Hour	Listening	6	2.0045s	4028
Narratives (21 st -Year)	Listening	18	1.5s	2250
Harry-Potter	Reading	8	2s	1211

Table 2: Neural Pretrained Transformer Models

Model Name	Pretraining	Type	Layers
BERT-base-uncased	Text	Encoder (Bidirectional)	12
GPT2-Small	Text	Decoder (Unidirectional)	12
BART-base	Text	Encoder-Decoder	12
FLAN-T5-base	Text	Encoder-Decoder	24
Wav2Vec2.0-base	Speech	Encoder	12
Whisper-small	Speech	Encoder-Decoder	24

Text models predict fMRI recordings significantly better than speech models



[Oota, Subba Reddy, and Toneva, Mariya. "What aspects of NLP models and brain datasets affect brain-NLP alignment?" 2023 arXiv.](#)

A big thank you!

Tutorial, Code and Material:

Deep Learning for Brain Encoding and Decoding, Cogsci-2022

<https://tinyurl.com/DL4Brain>

Upcoming Tutorials:

- Deep Neural Networks and Brain Alignment: Brain Encoding and Decoding, IJCAI-2023 (A* conference)